Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2006-12-11
2. Agency:	015
3. Bureau:	45
4. Investment Name:	Criminal Investigation Management Information System (CIMIS) - Major
5. UPI:	015-45-01-13-01-2469-00

6. What kind of investment will this be in FY2008?
Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?
FY2008

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.
The Criminal Investigation (IRS CI) Management Information System (CIMIS) is a new major investment . An Exhibit 53 was previously submitted and CIMIS received MITS funding and reported status through the Capital Planning & Investment Control (CPIC) office. It is a mixed life cycle project because CIMIS includes Operations and Maintenance (O&M) and Development, Modernization or Enhancement (DME) activities. A technology alternatives analysis was conducted in 2001. There is no data or cost information available from that timeframe. The Criminal Investigation (IRS CI) Management Information System (CIMIS) is a management and information system for tracking the status and progress of CI investigations, time expended by CI employees, Employee information, and IRS CI investigative Equipment. Capabilities include direct data entry from the field, real time query, and report features. Data from this application is also exported to other IRS CI applications. The management reports in this system provide special reporting capabilities required for enforcement activities, e.g. tracking arrests, indictments, search warrants and seizures. CIMIS' objective is to provide the vehicle to collect, compile and deliver accurate real time information to all levels of Criminal Investigation management and internal/external stakeholders. Much of the information collected is required by congressional mandate, Treasury Regulations, Office of Management and Budget (OMB) requirements, and IRS Directives. CIMIS will address current deficiencies by using a flexible architecture built on modernized hardware and software platforms. The technology supporting the application must be updated and modified to meet the existing Enterprise Architecture requirements. By complying with the Architecture requirements, the applications will be able to take advantage of the benefits of the Enterprise Infrastructure.

9. Did the Agency's Executive/Investment Committee approve this request?
yes

9.a. If "yes," what was the date of this approval?
2006-08-09

10. Did the Project Manager review this Exhibit?
yes

11. Project Manager Name:

Project Manager Phone:

Project Manager Email:

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
no

12.a. Will this investment include electronic assets (including computers)?
yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
no	
13. Does this investment support one of the PMA initiatives?	
no	
14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
yes	
14.b. If yes, what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	
Internal Revenue Service Criminal Investigations	
14.c. If yes, what PART rating did it receive?	
Moderately Effective	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
yes	
19. Is this a financial management system?	
no	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	

Hardware	1
Software	3
Services	87
Other	9

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
no	
22. Contact information of individual responsible for privacy related questions.	
Name	
Phone Number	
Title	
ISSO/PMO	
Email	
Privacy.advocate@irs.gov	
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	
yes	
SUMMARY OF SPEND	
1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in	

millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY
	-2005	2006	2007
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000
Maintenance Budgetary Resources	0.000	0.000	2.000
Government FTE Cost	0.000	0.000	0.586
# of FTEs	0	0	7

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
17	2007	Processes and Activities	Timeliness	Average cycle time on legal and illegal investigations on a quarterly basis.	458.6 average elapsed days	Reduce cycle time 1% in FY06 based on level staffing.	

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?		
yes		
2. Is this investment included in the agency's EA Transition Strategy?		
yes		

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

The Criminal Investigation (IRS CI) Management Information System (CIMIS) project is identified in the IRS As-Built-Architecture (ABA) & Enterprise Transition Strategy parts of the IRS Enterprise Architecture (EA). CIMIS predates the IRS EA and was "grandfathered" by explicitly adopting CIMIS in the ABA sections of - SYS 2.3 Tax Case & Issue Mgmt System and SYS 2.1.3 Submissions Perfection systems (IRS Target EA). Also in Taxpayer Case Database (2.7.7) planning investment control processes to ensure projects conform to the IRS Enterprise Architecture (EA)/ Treasury Enterprise Architecture/Framework (TEAF).

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Tax Account Management Business Logic	Manages the lifecycle of Counsel Automated Systems Environment (CASES) or DATA for criminal investigations	Data Management	Data Exchange			No Reuse	95
2	Asset Cataloging/Indexing	Criminal Investigation Management Information System (CIMIS) tracks investigative assets (their ownership, accountability and custody)	Asset / Materials Management	Asset Cataloging / Identification			No Reuse	2
3	Asset Transfer, Allocation and Maintenance	Criminal Investigation Management Information System (CIMIS) tracks transfers,	Asset / Materials Management	Asset Transfer, Allocation, and Maintenance			No Reuse	2

		shipments, disposal of assets, and replacements of such.						

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Data Exchange	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
2	Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator (URL)
3	Data Exchange	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	CISCO
4	Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft - Windows 2000
5	Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft - Windows 2000
6	Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft - Windows 2000
7	Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft - Windows 2000
8	Asset Cataloging / Identification	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft - Windows 2000
9	Asset Transfer, Allocation, and Maintenance	Component Framework	Business Logic	Platform Dependent	Microsoft - Windows 2000
10	Data Exchange	Service Platform and Infrastructure	Database / Storage	Database	Microsoft SQL
11	Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Microsoft SQL Server 2000/Enterprise Server
12	Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Printers – multi vendors
13	Data Exchange	Service Interface and Integration	Integration	Middleware	Database Access: OPEN ANSI SQL/92
14	Data Exchange	Service Interface and Integration	Integration	Middleware	Database Access: OPEN ANSI SQL/92

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

6. Does this investment provide the public with access to a government automated information system?

no

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2006-06-30

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&M investments do NOT need to answer.)

Life cycle costs are risk adjusted. The schedule also incorporates risk.

COST & SCHEDULE

Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

no

2.a. What is the Planned Value (PV)?

0.869

2.b. What is the Earned Value (EV)?

0.869

2.c. What is the actual cost of work performed (AC)?

0.881

What costs are included in the reported Cost/Schedule Performance information?

Contractor and Government

2.e. As of date:

2006-06-30

3. What is the calculated Schedule Performance Index (SPI= EV/PV)?

1

4. What is the schedule variance (SV = EV-PV)?

0.000

5. What is the calculated Cost Performance Index (CPI = EV/AC)?

0.99

6. What is the cost variance (CV = EV-AC)?

-0.013

7. Is the CV or SV greater than 10%?

no

7.d. What is most current Estimate at Completion?

11.485

8. Have any significant changes been made to the baseline during the past fiscal year?

no